

State of Vermont

AGENCY OF HUMAN SERVICES

OFFICE OF THE SECRETARY
208 Hurricane Lane, Suite #103
Williston, Vermont 05495-2069

December 28, 2011

Mr. Robert Aaronson
Director, Division of Cost Allocation
Department of Health and Human Services
26 Federal Plaza, Room 41-122
New York, NY 10278

Dear Mr. Aaronson:

Below you will find various amendment notifications for cost allocation plans within the Vermont Agency of Human Services. These updates are effective September 1, 2011.

Agency of Human Services Central Office (AHSCO)

The Agency of Human Services Central Office (AHSCO) has made no changes to allocation methods.

Department for Children and Families (DCF)

The Department for Children and Families (DCF) has updated program codes appearing in VISION data. While this program code has been updated in the narrative and highlighted in red, we have included a chart below:

| Plan Dept. | Plan Dept. Name | Allocation Method |
|------------|---|--|
| 40530.5 | Training UVM students in Social Workers-studies | Direct to Title IV-E Foster Care Training (75%) Is claimed using a blended eligibility rate of both adoption and IV-E children. State match to be provided by UVM. |

Department of Disabilities, Aging and Independent Living

The Department of Disabilities, Aging and Independent Living (DAIL) has identified new program codes appearing in VISION data. While all these program codes have been added to the narrative and highlighted in red, we have included a chart of these additions below:

| Plan Dept. | Plan Dept. Name | Allocation Method |
|------------|---------------------------------|---|
| 43540 | AoA - Irene Disaster Assistance | Direct to AoA Irene Disaster Assistance |
| 43967 | TBI Employment Grant | Direct to TBI Employment Grant |

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Department of Vermont Health Access (DVHA)

The Department of Vermont Health Access (DVHA) has identified new program codes appearing in VISION data. While all these program codes have been added to the narrative and highlighted in red, we have included a chart of these additions below:

| Plan Dept. | Plan Dept. Name | Allocation Method |
|------------|-----------------------|--------------------------------|
| 37711 | Dual Eligible Program | Direct to Duals Grant |
| 41696 | OCIIO - ACA Exchange | Direct to OCIIO Exchange grant |
| 41645 | DSH | Direct to DSH Grant |

The Department of Vermont Health Access (DVHA) has updated a program code appearing in VISION data. While all this program code has been updated in the narrative and highlighted in red, we have included a chart below:

| Plan Dept. | Plan Dept. Name | Allocation Method |
|------------|--|--|
| 1010.1 | VIEWS – MOVE | Per approved Direct to VIEWS IAPD |
| 1012.1 | MMIS - TA | Direct to MMIS 90% PAPD |
| 1013.1 | CMS HIT 4201 PAPD | Approved Direct to CMS 4201 HIT PADP |
| 1014.1 | ONC HIT 3013 | Approved Direct to ONC HIT Grant PADP |
| 1015.1 | SOA Infrastructure Components (BUS, Workflow, Rules) | Per approved Direct to SOA Infrastructure IAPD |
| 1016.1 | OCIIO - SPEG - ACA Exchanges | Approved Direct to OCIIO Exchange Grant PADP |
| 41607 | MOVE-VIEWS | Per approved Direct to VIEWS IAPD |
| 41612 | MMIS – IV&V | Per approved Direct to MMIS PAPD |
| 41613 | MES - DDI | Per approved Direct to MES IAPD |
| 41614 | VIEWS – IV&V | Per approved Direct to VIEWS IAPD |
| 41619 | OCIIO - SPEG - ACA Exchanges | Direct to OCIIO Exchange grant |
| 41609 | VIEWS – Enhanced | Per approved Direct to VIEWS IAPD |
| 41634 | MMIS- IV&V Contractual | Direct to MMIS PAPD |
| 41636 | MAPIR - EHR Incentive Payments | Direct to SMHP HIT IAPD |
| 41637 | MAPIR - State Customization - Contractual Costs | Direct to SMHP HIT IAPD |
| 41638 | MAPIR - State Customization - Staff Costs | Direct to SMHP HIT IAPD |
| 41639 | ICD-10 - Contractual Costs | Direct to ICD-10 IAPD |
| 41640 | ICD-10 - Staff Costs | Direct to ICD-10 IAPD |
| 41642 | MES – DDI Staff | Per approved Direct to MES IAPD |

The Department of Vermont Health Access (DVHA) has deleted program codes previously appearing in VISION data. While these program codes have been lined-through in the narrative and highlighted in red, we have included a chart below:

| Plan Dept. | Plan Dept. Name | Allocation Method |
|------------|------------------------------------|-------------------|
| 41073 | Member Card Replacement | Per approved APD |
| 41074 | Member Card Replacement - Training | Per approved ADP |

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Vermont Department of Health (VDH)

The Vermont Department of Health (VDH) has identified new program codes appearing in VISION data. While all these program codes have been added to the narrative and highlighted in red, we have included a chart of these additions below:

| Plan Dept. | Plan Dept. Name | Allocation Method |
|------------|--------------------|-------------------------------|
| 39735 | WIC Infrastructure | Direct to WIC Infrastructure. |
| 39737 | WIC EBT Planning | Direct to WIC EBT Planning. |
| 39738 | WIC Weight Gain | Direct to WIC Weight Gain. |

The Vermont Department of Health (VDH) has updated program codes appearing in VISION data. While these program codes have been updated in the narrative and highlighted in red, we have included a chart below:

| Plan Dept. | Plan Dept. Name | Allocation Method |
|------------|--|--|
| 39120 | Healthy Homes & Childhood Lead Poisoning Prevention | Direct to Healthy Homes Childhood Lead Poisoning Prevention |
| 39829 | SPF – SPE Community Evaluation Strategic Prevention Framework State Incentive Grant – Community Evaluation | Direct to SPF – SPE. Direct to Strategic Prevention Framework State Incentive Grant |

Department of Mental Health

The Department of Mental Health (DMH) has identified new program codes appearing in VISION data. While all these program codes have been added to the narrative and highlighted in red, we have included a chart of these additions below:

| Plan Dept. | Plan Dept. Name | Allocation Method |
|------------|---|--|
| 42400 | MH – FEMA Immediate Response Grant | Direct to FEMA Immediate Response Grant |
| 42780 | VSH – Direct Patient Care - Non-Springfield | Direct to VSH, Global Commitment Fund |
| 42781 | VSH – Direct Patient Care - Springfield | Direct to VSH, General Fund |
| 42782 | VSH – Admin and Program Support – Post Tropical Storm Irene | Costs to be allocated to VSH direct service cost centers based on patient statistics |

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Cost Impact Assessment

Category 1 – Programs in this category are administrative allocations used to accurately reflect costs to federal benefiting programs across AHS. Overall there will be no federal effect with these new programs.

Category 2 – Programs in this category are used to identify new grants 100% federally funded.

Category 3 – Programs in this category are new or have been updated but have no adverse federal impact.

Category 4 – Program codes being moved from one Planned Department category to another with no changes in funding.

Category 5 – Updates in this category do have an adverse affect on federal funding.

Category 6 – Updates in narrative wording only with no change in the actual allocation method.

Category 7 – Deleted program. Program has expired or been terminated.

Note: All other program code changes identified in red on the CAP narrative are narrative updates only and have no impact on funding.

Agency of Human Services Central Office (AHSCO)

The Agency of Human Services Central Office (AHSCO) has made no changes to allocation methods.

Department for Children and Families (DCF)

The Department for Children and Families (DCF) made updates to the narrative portion that serve only to clarify the language in the cost allocation plan. They do not result in any change in federal funding. Additionally, the following categories of updates were made.

Category 6 – Updates in narrative wording only with no change in the actual allocation method.

- 40530.5 Training UVM students in Social Workers studies

Department of Disabilities, Aging and Independent Living

The Department of Disabilities, Aging and Independent Living (DAIL) has made the following changes to the Cost Allocation Plan this quarter.

Category 3 – Programs in this category are new or have been updated but have no adverse federal impact.

- 43540 AoA - Irene Disaster Assistance
- 43967 TBI Employment Grant

Department of Vermont Health Access (DVHA)

The Department of Vermont Health Access (DVHA) has made the following changes to the Cost Allocation Plan this quarter.

Category 2 - Programs in this category are used to identify new grants 100% federally funded.

- 37711 Dual Eligible Program
- 41696 OCIO - ACA Exchange

Category 3 – Programs in this category are new or have been updated but have no adverse federal impact.

- 1010.1 VIEWS – MOVE
- 1012.1 MMIS - TA
- 1013.1 CMS HIT 4201 PAPD
- 1014.1 ONC HIT 3013
- 1015.1 SOA Infrastructure Components (BUS, Workflow, Rules)
- 1016.1 OCIO - SPEG - ACA Exchanges
- 41607 MOVE-VIEWS

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

- 41612 MMIS – IV&V
- 41613 MES - DDI
- 41614 VIEWS – IV&V
- 41619 OCIO - SPEG - ACA Exchanges
- 41609 VIEWS – Enhanced
- 41634 MMIS- IV&V Contractual
- 41636 MAPIR - EHR Incentive Payments
- 41637 MAPIR - State Customization - Contractual Costs
- 41638 MAPIR - State Customization - Staff Costs
- 41639 ICD-10 - Contractual Costs
- 41640 ICD-10 - Staff Costs
- 41642 MES – DDI Staff

Category 4 – Program codes being moved from one Planned Department category to another with no changes in funding.

- 41645 DSH

Category 7 – Deleted program. Program has expired or been terminated.

- 41073 Member Card Replacement
- 41074 Member Card Replacement - Training

Vermont Department of Health (VDH)

The Vermont Department of Health (VDH) has made the following changes to the Cost Allocation Plan this quarter.

Category 2 - Programs in this category are used to identify new grants 100% federally funded.

- 39737 WIC EBT Planning
- 39735 WIC Infrastructure
- 39738 WIC Weight Gain
- 39829 SPF – SPE Community Evaluation (name change)
- 39120 Healthy Homes & Lead Poisoning Prevention (name change)

Category 3 – Programs in this category are new or have been updated but have no adverse federal impact.

- 39344 Expanded Immunization

Department of Mental Health (DMH)

The Department of Mental Health (DMH) has made the following changes to the Cost Allocation Plan this quarter.

Category 3 – Programs in this category are new or have been updated but have no adverse federal impact.

- 42400 MH – FEMA Immediate Response Grant
- 42780 VSH – Direct Patient Care - Non-Springfield
- 42781 VSH – Direct Patient Care - Springfield
- 42782 VSH – Admin and Program Support – Post Tropical Storm Irene

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

We appreciate your cooperation. If you have any questions, please do not hesitate to contact Jim Giffin at (802) 241-2949.

Sincerely,



Douglas A. Racine
Secretary
Agency of Human Services

cc:

Al Johnson
Allan Merritt
Ben Black
Bill Kelly
Cara McSherry
Carrie Hathaway
Connie Harrison
David Cameron
David Cohen
Ed Dwinell
Gary Leach
Heidi Hall

Hugh McCaslin
Jill Gould
Jim Euber
Jim Giffin
Jim Mall
Joan Adams
Judy Morse
Kevin O'Connell
Leo Clark
Mary Ann Alligood
Mike Mooradian
Monica Light

Patrick Burke
Peter Bestenbostel
Ray Stout
Richard Donahey
Rob Roberts
Sarah Walcott
Shannon Thompson
Sherie Barbour
Shirley Dow
Ursula Boehringer
Victoria Alberghini

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

State and Local Cost Allocation Plans

CERTIFICATION OF CENTRAL SERVICE COST ALLOCATION PLAN

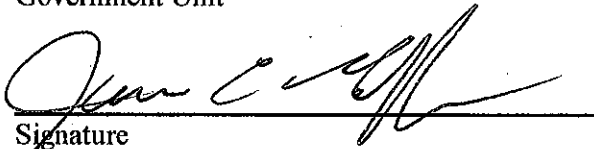
This is to certify that I have reviewed the cost allocation plan submitted herewith and to the best of my knowledge and belief:

(1) All costs included in this proposal dated December 28, 2011 to establish cost allocations or billings for the quarter ending December 31, 2011 are allowable in accordance with the requirements of OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments," and Federal award(s) to which they apply. Unallowable costs have been adjusted for in allocating costs as indicated in the cost allocation plan.

(2) All costs included in this proposal are properly allocable to Federal awards on the basis of a beneficial or causal relationship between the expenses incurred and the awards to which they are allocated in accordance with applicable requirements. Further, the same costs that have been treated as indirect costs have not been claimed as direct costs. Similar types of costs have accounted for consistently.

I declare that the foregoing is true and correct.

Vermont Agency of Human Services
Government Unit

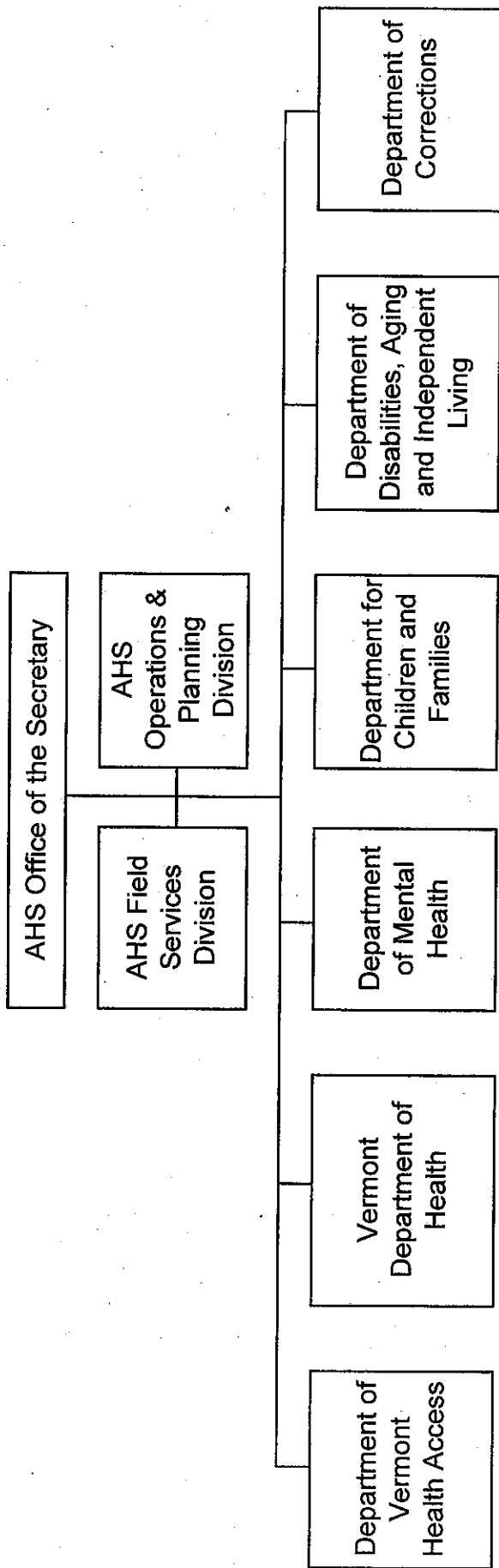

Signature

James C. Giffin
Name of Official

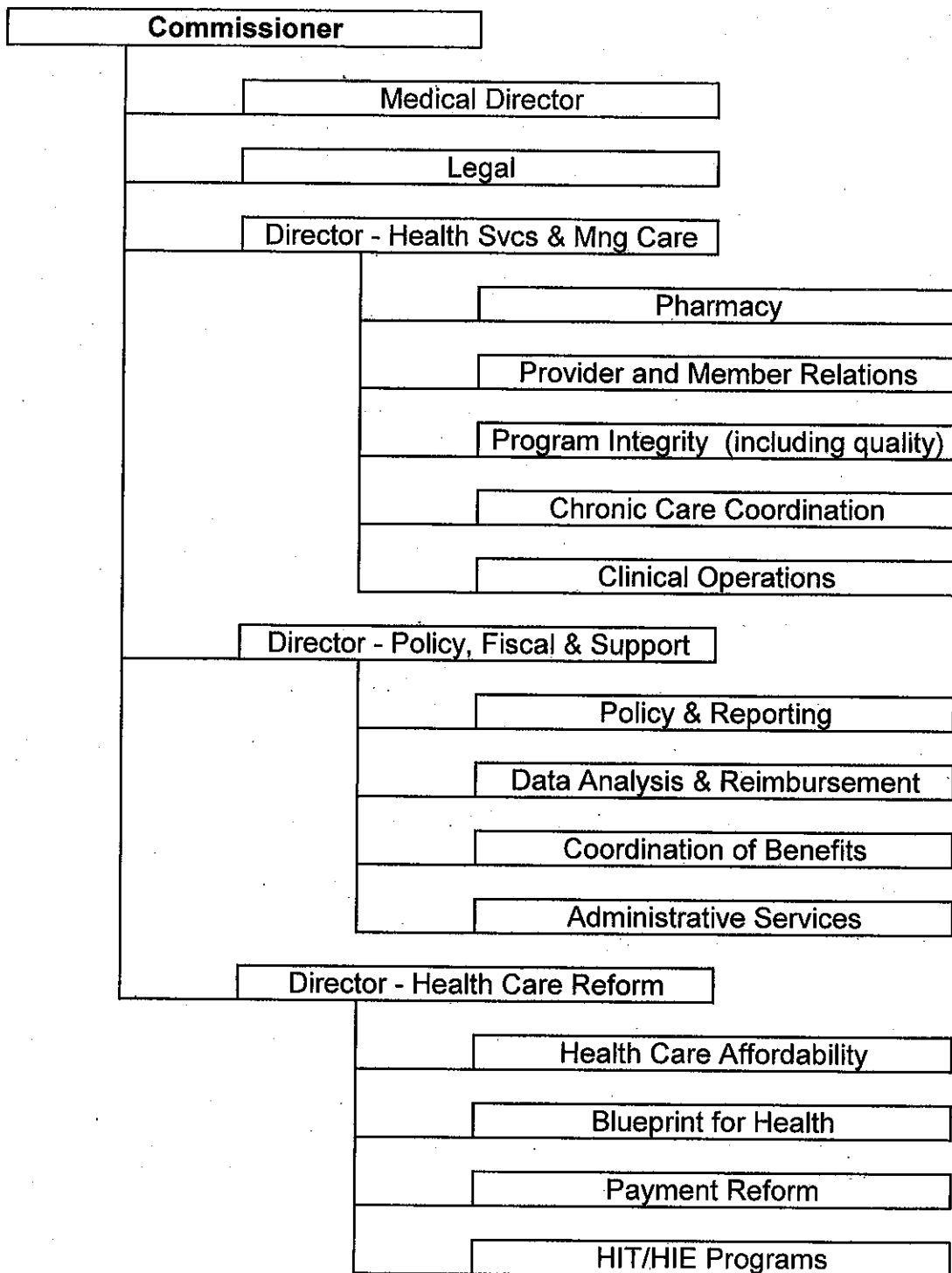
Chief Fiscal Officer
Title

December 22, 2011
Date of Execution

Agency of Human Services



Department of Vermont Health Access



Vermont Department of Health

Office of the Commissioner

Administration

Public Health

Alcohol and Drug Abuse Prevention

Department of Mental Health

Office of the Commissioner

Mental Health

State Hospital

Department for Children and Families

Office of the Commissioner

Administration & Support

Family Services

Child Development

Child Support Services

Aid to the Aged, Blind and Disabled

General Assistance

Food Stamp Cashout

Reach Up

Low Income Home Energy
Assistance Program

Economic Opportunity

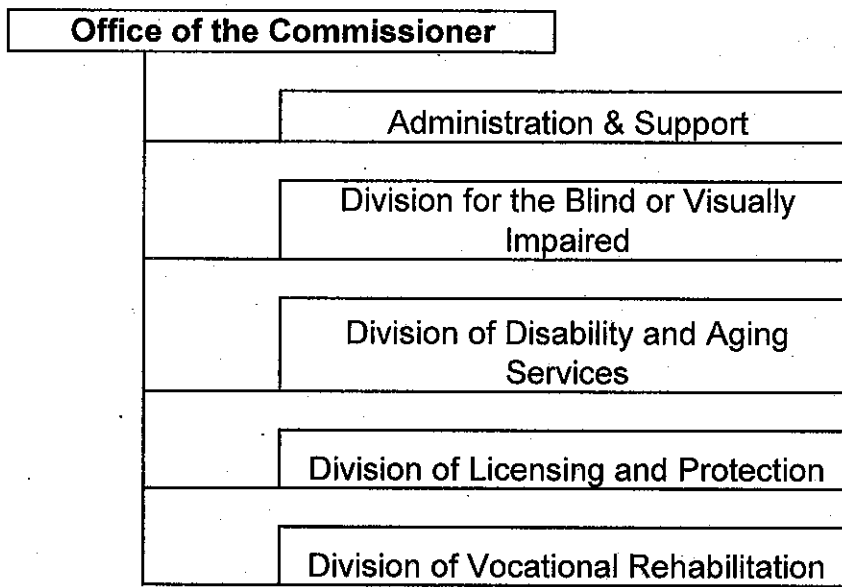
Weatherization Assistance

Woodside

Disability Determination

Children's Trust Fund

Department of Disabilities, Aging and Independent Living



Department of Corrections

